

email: giancoladenny@gmail.com

New Hampshire Public Utilities Commission Deborah Howland – Executive Director 21 Fruit St., Suite #10 Concord, NH 03301

NHPUC BNOV14PH1:57

- H. P. Technologies, Inc. wishes to register as an electricity Aggregator in the State of New Hampshire. All applicable rules and requirements for this registration have been satisfied. Attached you will find a registration form from Chapter PUC 2000 COMPETITIVE ELECTRIC POWER SUPPLIER AND AGGREGATOR RULES.
- H. P. Technologies, Inc. is an Ohio company with its main office at 33648 Saint Francis Drive, Avon, Ohio 44011. Our registered agent in New Hampshire is Alberto Gutierrez obo InCorp Services, Inc. Their main office is located at 152 South Mast Street, Goffstown, NH 03045 in Hillsborough County. Our main phone number is 866-892-0910 and our fax number is 440-536-9553. Our website address is www.utility-savings.net.
- H. P. Technologies, Inc. filed as a foreign corporation DBA "Supply Choice Energy" in the State of New Hampshire and was acknowledged as having filed on 10/03/2014. Our New Hampshire Business ID is 715801. Attached is the certificate of Authority and certificate of registered trade name.
- H. P. Technologies, Inc. was incorporated in 1984. Attached is our "Good Standing" certificate from the state of Ohio. H. P. Technologies, Inc. will arrange for the sale of electricity by representing the seller(s) of electricity to the end user. The sales are executed by employing the supplier's legal agreements. H. P. Technologies, Inc. secures the client signature on the supplier's legal agreement. H. P. Technologies, Inc. intends to be engaged in customer acquisition, the presentation, and completion of electricity sales agreements on behalf of licensed power generation, suppliers and marketers.
- H. P. Technologies, Inc. currently has a license to aggregate and/or broker electricity in the state of Ohio, Illinois, Massachusetts, and Pennsylvania. Attached are the licenses from those states.
- H. P. Technologies, Inc. has not enrolled any customers or made any legally binding offers in the State of New Hampshire and will not do so until properly licensed with the PUC and the State of New Hampshire.

The following information is provided in writing in duplicate and a check payable to the State of New Hampshire in the amount of \$250.

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Sincerely,

Dennis J. Giancola



email: giancoladenny@gmail.com

New Hampshire Public Utilities Commission Deborah Howland – Executive Director 21 Fruit St., Suite #10 Concord, NH 03301

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Sincerely,

Dennis J. Giancola



email: giancoladenny@gmail.com

- (1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state;
- H. P. Technologies, Inc. DBA Supply Energy Choice
- (2) The applicant's business address, telephone number, e-mail address and website address, as applicable;

Physical and Mailing Address: 33648 Saint Francis Drive, Avon, Ohio 44011

Phone: 866-892-0910 - Fax: 440-536-9553

Email: dennis@utility-savings.net Website: www.utility-savings.net

(3) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s) if anything other than an individual:

Name: Dennis J. Giancola

Title: President

Business Address: 33648 Saint Francis Drive, Avon, Ohio 44011

Phone: 866-892-0910 - Fax: 440-536-9553

Email: dennis@utility-savings.net

(4) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available;

Name: David M. Ramos Title: Project Manager

Business Address: 33648 Saint Francis Drive, Avon, Ohio 44011

Toll Free Phone: 866-892-0910 - Phone: 440-822-3188 - Fax: 440-536-9553

Email: david@utility-savings.net

(5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual;

Attached



email: giancoladenny@gmail.com

Date: 10-28-14

- (6) Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10) above;
- H. P. Technologies, Inc. plans provide service throughout New Hampshire within the service territories of Public Service of New Hampshire, Unitil, Liberty, and New Hampshire Electric Coop.
- (7) A statement that the applicant is not representing any supplier interest, or a listing of any supplier interest(s) the applicant intends to represent;
- H. P. Technologies, Inc. does not represent any supplier interests. We represent the customer only and hold everyone in our organization and who we do business with to high ethical standards.
- (8) Payment of the required filing fee; and

#### Included

(9) The signature of the applicant or its representative. Source. #9774-B, eff 8-26-10

Dennis J. Giancola, President

H. P. Technologies, Inc. 33648 Saint Francis Drive

Avon, Ohio 44011

# UNITED STATES OF AMERICA STATE OF OHIO OFFICE OF THE SECRETARY OF STATE

I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show H. P. TECHNOLOGIES, INC., an Ohio corporation, Charter No. 628783, having its principal location in Avon, County of Lorain, was incorporated on February 10, 1984 and is currently in GOOD STANDING upon the records of this office.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 9th day of September, A.D. 2014.

**Ohio Secretary of State** 

on Husted

Validation Number: 201425200311



### State of New Hampshire

### Department of State

Corporation Division 107 North Main Street Concord, N.H. 03301-4989 603-271-3246



Enclosed is your certificate. It acknowledges this office's receipt and processing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at <u>corporate@sos.state.nh.us</u>. Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State Corporation Division

Business ID#: 715801

### State of New Hampshire Department of State

CERTIFICATE OF AUTHORITY OF

H.P. TECHNOLOGIES, INC.

The undersigned, as Secretary of State of the State of New Hampshire, hereby certifies that an Application of H.P. TECHNOLOGIES, INC. for a Certificate of Authority to transact business in this State, duly signed pursuant to the provisions of the New Hampshire Business Corporation Act, has been received in this office.

ACCORDINGLY the undersigned, as such Secretary of State, and by virtue of the authority vested in him by law, hereby issues this Certificate of Authority to H.P. TECHNOLOGIES, INC. to transact business in this State under the name of SUPPLY CHOICE ENERGY and attaches hereto a copy of the Application for such Certificate.

Business ID#: 715801

TE OF STREET

IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 3rd day of October, 2014 A.D.

William M. Gardner Secretary of State



### State of New Hampshire

### Department of State

Corporation Division 107 North Main Street Concord, N.H. 03301-4989 603-271-3244



Enclosed is your certificate of registered trade name. You should be sure to complete any other registrations required such as with the Department of Revenue Administration or with special licensing agencies such as the State Liquor Commission and Real Estate Commission.

Approximately six (6) months prior to the expiration date, we will mail to the address on record a form for you to renew your trade name registration. It is incumbent upon you to keep us informed of address changes to ensure the renewal form reaches you. There is no charge for address changes.

If you cease doing business under this trade name you are required by law to discontinue the use of its name by filing a Trade Name Discontinuance Form, TN-9. We also have forms to file should you wish to add a partner to your business (form TN-5) or withdraw a partner who is already one of the registrants (form TN-7). All of these forms may be downloaded from our web site at <a href="www.sos.nh.gov/corporate/">www.sos.nh.gov/corporate/</a> or you may request them from this office.

Feel free to contact the Corporation Division if you need any further information.

Regards,

William M. Gardner Secretary of State

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Business ID#: 715802

### State of New Hampshire Department of State

#### CERTIFICATE OF REGISTERED TRADE NAME

OF

### Supply Choice Energy

This is to certify that H.P. Technologies, Inc. registered in this office as doing business under the Trade Name Supply Choice Energy, at 33648 Saint Francis Dr. Avon, OH 44011 on October 3, 2014.

The nature of business is natural gas and electricity brokerage.

Expiration Date: October 3, 2019

Business ID#: 715802

IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 3rd day of October, 2014 A.D.

William M. Gardner Secretary of State

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WHEREAS, the Corporation desires to adopt a trade name and style under which to conduct business, be it:

RESOLVED, that the Corporation adopt the trade name Supply Choice Energy as an assumed name for the conduct of certain business activities, and, be it:

RESOLVED FURTHER, that the President of the Corporation record adoption and use of said fictitious name with the appropriate entity responsible for maintaining public records.

The undersigned hereby certifies that he/she is the duly elected and qualified Secretary and the custodian of the books and records and seal of H. P. Technologies, Inc., a corporation duly formed pursuant to the laws of the state of Ohio and that the foregoing is a true record of a resolution duly adopted at a meeting of the Officers and that said meeting was held in accordance with state law and the Bylaws of the above-named Corporation on September 29, 2014, and that said resolution is now in full force and effect without modification or rescission.

IN WITNESS WHEREOF, I have executed my name as Secretary and have hereunto affixed the corporate seal of the above-named Corporation this twenty-ninth day of September, 2014.

#### STATE OF ILLINOIS

#### **ILLINOIS COMMERCE COMMISSION**

H.P. Technologies Inc. :

:

Application for Licensure of :

Agents, Brokers and Consultants : 11-0456

under Section 16-115C of the :

Public Utilities Act. :

**ORDER** 

By the Commission:

#### I. PRELIMINARY MATTERS

On May 31, 2011, H.P. Technologies Inc. ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454 ("Part 454"). The Administrative Law Judge requested additional information and clarification of certain matters contained in the Application. When no response was filed to the Administrative Law Judge's ruling, a Proposed Order was served on the Applicant. Following service of the Proposed Order, the Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

### II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Ohio and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data.

### III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment B. Attachment B includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment B includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in Attachment C. Attachment C includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

Applicant indicates in its application that it is licensed as a broker/marketer for natural gas in Ohio, as well as an aggregator of natural gas. The Applicant further indicates that it has not had any complaints filed against it for the services it has provided.

Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

#### IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

### CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that H.P. Technologies Inc. is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

#### V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) H.P. Technologies Inc., a business organized under the laws of Ohio, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof:
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) H.P. Technologies Inc. should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that H.P. Technologies Inc. is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that H.P. Technologies Inc. shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 III. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 21st day of September, 2011.

(SIGNED) DOUGLAS P. SCOTT

Chairman



### THE COMMONWEALTH OF MASSACHUSETTS

#### DEPARTMENT OF PUBLIC UTILITIES

DEVAL L. PATRICK GOVERNOR

RICHARD K. SULLIVAN, JR. SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 ANN G. BERWICK CHAIR

JOLETTE A. WESTBROOK COMMISSIONER

> KATE McKEEVER COMMISSIONER

May 21, 2014

### VIA U.S. Mail

Mr. Dennis Giancola, President H.P. Technologies, Inc. 33648 Saint Francis Drive Avon, OH 44011

RE: Electricity Broker License Application

Dear Mr. Giancola:

The Department of Public Utilities (Department') has reviewed the application of H.P. Technologies, Inc (H.P. Technologies' or "Company") for an Electricity Broker license in the Commonwealth of Massachusetts, and is pleased to inform you that the Department has approved the application. The Company's license number is EB-279.

Consistent with the information included with H.P. Technologies' application, the activities the Company is licensed to provide are limited to electricity broker services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval at the time of renewal of its license.

As a condition of maintaining this license, H.P. Technologies must file updated information within 30 days of any material change in the information required by 220 C.M.R. § 11.05(2), and it must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 et seq. If

FAX: (617) 345-9101 www.mass.gov/dpu H.P. Technologies decides to request renewal of its license next year, please submit the renewal application no later than **June 1, 2015**.

By Order of the Department,

Ann G. Berwick, Chair

Jolette A. Westbrook, Commissioner

Kate McKeever, Commissioner

cc: Mark D. Marini, Secretary

John Kasich, Governor Todd A. Snitchler, Chairman Commissioners

Steven D. Lesser Asim Z. Haque Lynn Slaby M. Beth Trombold

### PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL
Certificate Number:
11-404E (2)

Issued Pursuant to Case Number(s):

#### 11-5343-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to H.P. Technologies, Inc. whose office or principal place of business is located at 33648 St. Francis Dr., Avon, Ohio 44011 to provide <u>aggregation and power broker</u> services within the State of Ohio effective November 03, 2013.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: November 7, 2013

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary

Betty McCauley, Acting Secretary

Tanowa M. Troupe, Acting Secretary

Certificate Expires: November 03, 2015

## PENNSYLVANIA PUBLIC UTILITY COMMISSION

IN THE MATTER OF THE APPLICATION OF: A-2012-2304910

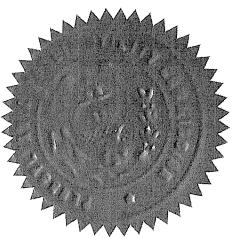
The Application of H.P. Technologies, Inc. for approval to begin to offer, render, furnish or supply electric generation supplier services as a broker/marketer to small commercial (25 kw and under demand), large commercial (over 25 kw demand), and industrial customers in all of the electric distribution company service territories throughout the Commonwealth of Pennsylvania.

**EFFECTIVE DATE: July 19, 2012** 

The Pennsylvania Public Utility Commission hereby certifies that after an investigation and/or hearing, it has, by its report and order made and entered, found and determined that the granting of the application is necessary or proper for the service, accommodation, convenience and safety of the public and hereby issues, evidencing the Commission's approval, to the applicant this:

### LICENSE FOR ELECTRIC GENERATION SUPPLIER.

In Witness Whereof, the PENNSYLVANIA PUBLIC UTILITY COMMISSION has caused these presents to be signed and sealed, and duly attested by its Secretary at its office in the city of Harrisburg this 19<sup>th</sup> day of July 2012.



Secretary